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PATENT

Attorney Docket No. A-65188-1 (467766-94)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  ALLAN, et al.  Serial No.: 09/480,883  Filing Date: 10 JANUARY 2000  For: <b>AN ELECTRONIC-RECEIPTS SERVICE</b>	Examiner: JASMIN, LYNDIA C  Art Unit: 3627  <u>CERTIFICATE OF MAILING</u>  I hereby certify that this correspondence and its listed enclosures is being deposited with the United States Postal Service as First Class Mail addressed to Commissioner for Patents; P.O. Box 1450; Alexandria, VA 22313-1450 on <u>April 8, 2004</u>  Signed <u>Wendy Wilson</u> Wendy Wilson
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INFORMATION DISCLOSURE STATEMENT  
AND STATEMENT OF RELATEDNESS

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the reference cited on the accompanying substitute for form PTO-1449. Copies of the references are enclosed herewith.

None of the foregoing references is believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

Further, in satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and as required by M.P.E.P. § 2001.06(b), Applicant notes that the present application is related to the following pending patent applications:

- U.S. Application Serial Number 09/588,589, filed 5 June 2000;
- U.S. Application Serial Number 09/588,037, filed 5 June 2000; and
- U.S. Application Serial Number 09/663,069, filed 15 September 2000.

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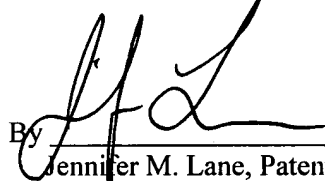
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Serial No.: 09/480,883  
Filing Date: 10 January 2000

As this Information Disclosure Statement is being filed after the mailing of an office action on the merits, our \$180 fee is enclosed. While no further fee is currently believed to be due, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. A-65188-1 (467766-94)). Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

DORSEY & WHITNEY LLP

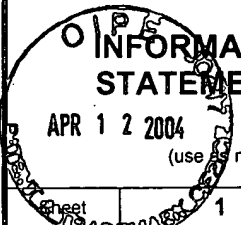
By 

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Substitute for form 1449A/PTO (Modified)		<b>Complete if Known</b>	
		Application Number	09/480,883
		Filing Date	10 January 2000
		First Named Inventor	Allan
		Group Art Unit	3627
		Examiner Name	Jasmin, Lynda C
Attorney Docket Number	A-65188-1/RMA/JML		
Sheet 1 of 1			

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,025,372	6/1991	Burton	
	A2	5,642,485	6/1997	Deaton	
	A3	5,761,650	6/1998	Munsil	
	A4	5,806,045	9/1998	Biorge	
	A5	6,009,411	12/1999	Kepecs	
	A6	6,047,269	4/2000	Biffar	
	A7	6,101,482	8/2000	DiAngelo	
	A8	6,246,997	6/2001	Cybul	
	A9	6,249,773	6/2001	Allard	
	A10	6,341,353	1/2002	Herman	
	A11	6,415,261	7/2002	Cybul	
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	A13	6,598,026	7/2003	Ojha	
	A14	6,609,106	8/2003	Robertson	
	A15	6,611,814	8/2003	Lee	
	A16	6,233,682	5/2001	Fritsch	

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	B1					

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	C1	Goradia et al., "NetBill 1994 Prototype", Carnegie Mellon University, 1994, 91 pages.	
	C2	Bank, David "Electronic Commerce Debuts on Internet", Austin American Statesman, April 12, 1994, page E1.	
	C3	Gage, Deborah, "Sun: Javawallet in pocket - Electronic wallet can organize, display transactions, credit data", Computer Reseller News, August 26, 1996, page 74.	
	C4	Anonymous, "@pos.com Unveils Internet Initiative for Web-Enabling Retail Point-of-Sale", PR Newswire, June 29, 1999, 4 pages.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

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